

Trading Regulations - Detailed overview of the amendments

New equities segment "Sparks"

Entry into force 01.10.2021

The following material amendments have been made to the **Trading Rules**:

Document	Type	Reference	Title
Directive 3: Trading	Change	Clause 15.1	Trading restrictions – Foreign shares
	Change	Clause 15.2 para. 1	Trading restrictions – Buy orders
	Change	Clause 16 para. 1	Suspension of trading in derivatives with path-dependent structures
Directive 5: Alternative Trading	Change	Clause 9.1 para. 1	SwissAtMid – Tradeable securities
	Change	Clause 9.8	SwissAtMid – Price steps
	Change	Clause 11.1 para. 1	Quote on Demand – Tradeable securities
	Change	Clause 11.8 para. 1	Quote on Demand – Price steps
Guideline "Trading Parameters"	Change	Section II – Clause 2 para. 1 lit. a)	Trading Segments - Classification of markets and trading segments – Equity market
	New	Annex C	Sparks Shares
Guideline List of Charges under the Trading Rules	Change	Clause 3.6 para. 6	Group tariff
	Change	Clause 4 para. 2	Access fee
	Change	Clause 7.4.3 para. 4	Fees for on-exchange, on-order-book trading – Commitment levels
	Change	Clause 8.1 para. 3	Fees for on-exchange trading without pre-trade transparency - Principle
	Change	Clause 8.4.5 para. 4	LPS SwissAtMid
	Change	Clause 9.1 para. 3	Fees for on-exchange, hybrid trading - Principle
	Change	Clause 9.4.5 para. 4	LPS SwissEBBO
	Change	Clause 9.5 para. 2	Special fees
	Change	Clause 10.1 para. 3	Fees for on-exchange trading with quote requests - Principle
	New	Annex C	Sparks Shares
	New	Annex M – Clause 3	Sparks Shares
Guideline List of Charges under the Listing Rules	New	Clause 2.1.11	Charges for equity securities in the regulatory standard Sparks
	New	Annex A – Clause 1	Equity Securities - Listing