



Trading Regulations - Detailed overview of the amendments

SWXess Maintenance Release 9.1 (SMR9.1)

Entry into force 21.06.2021

The following amendments have been made:

Document	Type	Reference	Title
Directive 3: Trading	New	Clause 15.2	Trading restriction for buy orders
	New	Clause 16	Trading suspension for derivatives with path-dependent structures
Directive 5: Alternative Trading	New	Clause 9.12 para. 1 lit. e)	On-exchange trading without pre-trade transparency in SwissAtMid - Trading interruption
	New	Clause 10.13 para. 3	On-exchange, hybrid trading in Swiss EBBO - Trading interruption
	Change	Clause 11.6.3 para. 1 lit. m	On Exchange trading with quote requests in Quote on Demand - Definition of quote request
Guideline "Trading Parameters"	Change	Annex H - Clause 2	Exchange Traded Funds - Market model, order types and trading services
	Change	Annex P - Clause 2	Exchange Traded Products - Market model, order types and trading services