

Trading Regulations - Detailed overview of the amendments

Entry into force 01.07.2022

The following amendments have been made to the **Trading Rules**:

Document	Type	Reference	Title
Rule Book	Change	Clause 3.0	Admission of Participants - Replacement of securities dealers with securities firms
	Deletion	Clause 4.0, 1. b.	Obligations of Participants - Entry with economic background
	Change	Clause 4.0, 1. e.	Obligations of Participants - Registration of traders
	Change	Clause 4.0, 1. g.	Obligations of Participants - Replacement of securities dealers with securities firms
	Change	Clause 4.0, 2. c.	Obligations of Participants - Replacement of securities dealers with securities firms
	New	Clause 13	Market Conduct Rules
	New	Clause 15	Market Control
	Change	Clause 18 para. 3	Audit - Replacement of securities dealers with securities firms
	Change	Clause 20 para. 1	Sanctions – Amendment Regulatory Bodies
	Change	Clause 21 para. 1	Suspension and final exclusion from the Platform
	New	Clause 23	Possibilities of appeal
	New	Clause 24	Extraordinary situations
	Change	Clause 25	Emergency situations