

Detailed overview of the amendments

Amendments to the List of Charges under the Listing Rules as of 1 January 2020 in connection with the admission to trading and maintaining admission to trading for Sponsored Segments as well as the new prospectus regulations pursuant to FinSA and FinSO as of 2 January 2020
Entry into force 01.01.2020 and 02.01.2020

The following amendments have been made:

Document	Type of change	Reference	Title
List of Charges under the Listing Rules	Change	Clause 1.1 para. 1	Purpose
	Change	Clause 1.3	List of fees
	Deletion	Clause 2.1.4	Additional charge for the listing prospectus
	Change	Clause 2.2.1	Equity Securities - Annual basic charge
	Change	Clause 3.2.1	Collective Investment Schemes - Annual basic charge
	Deletion	Clause 4.1.4	Additional charge for the listing prospectus
	Deletion	Clause 4.1.5	Flat charge for the examination and registration of issue programmes
	Deletion	Clause 4.1.6	Flat charge for the reissuance of issue programmes
	Deletion	Clause 4.1.7	Additional charge for the listing prospectus for issue programmes
	Change	Clause 4.1.8 para. 1 and 2	Fee for bonds with a maximum term of 12 months
	Deletion	Clause 5.1.2	Additional charge for "stand-alone prospectus" derivatives
	Deletion	Clause 5.1.3	Flat charge for the examination and registration of derivatives programmes
	Deletion	Clause 5.1.4	Flat charge for the reissuance of derivatives programmes
	Deletion	Clause 6.1.4	Additional charge for "stand-alone prospectus" ETPs
	Deletion	Clause 6.1.5	Additional charge for the listing prospectus with reference to approved listing prospectus
	Deletion	Clause 6.1.6	Flat charge for the examination and registration of issue programmes

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Document	Type of change	Reference	Title
	Deletion	Clause 6.1.7	Flat charge for the reissuance of issue programmes
	Deletion	Clause 6.1.8	Additional charge for the listing prospectus for issue programmes
	Change	Clause 6.2.1	Exchange Traded Products - Annual basic charge
	New	Clause 7	Fees for admission to trading and maintaining admission to trading in a Sponsored Segment
	New	Clause 7.1	Admission to trading in a Sponsored Segment
	New	Clause 7.2	Maintaining admission to trading in a Sponsored Segment
	New	Clause 10	Transitional provisions
	Change	Annex A – Clause 1	Annex A – Equity Securities Listing
	Change	Annex B – Clause 2	Collective Investment Schemes – Maintaining listing
	Change	Annex C – Clause 1	Annex C – Bonds Listing
	Change	Annex D – Clause 1	Annex D – Derivatives Listing
	Change	Annex E – Clause 1	Annex E – Exchange Traded Products (ETP) Listing
	Change	Annex E – Clause 2	Exchange Traded Products (ETPs) – Maintaining listing
	New	Annex F	Sponsored Segment