Directive on Regular Reporting Obligations

Directive Regular Reporting Obligations, DRRO
dated 2 December 2025
Entry into force: 1 January 2026

Table of contents

I General provisions ... 3
Art. 1 Object ... 3
Art. 2 Reportable facts ... 3
Art. 3 Recipients of reportable facts ... 3

II Form and content of reports ... 3
Art. 4 Form in which the reportable facts are to be transmitted ... 3
Art. 5 Mandatory information ... 4
Art. 6 Official Notice ... 4
Art. 7 Confidentiality ... 4

III Responsibility ... 5
Art. 8 Responsibility ... 5

IV Regular reporting obligations ... 5
Art. 9 Primary-listed equity securities and global depository receipts (GDRs) ... 5
Art. 10 Bonds and/or conversion rights ... 5
Art. 11 Derivatives ... 5
Art. 12 Collective investment schemes ... 5
Art. 13 Exchange traded products (ETP) ... 5
Art. 14 Sponsored Investment Funds Segment ... 5
Art. 15 Secondary-listed equity securities ... 5

V Authorities ... 6
Art. 16 Delegation ... 6

VI Final provisions ... 6
Art. 17 Entry into force ... 6
Art. 18 Transitional provisions ... 6
Art. 19 Revision ... 6

Annex 1 – Primary-listed equity securities and global depository receipts (GDRs) ... 7

Annex 2 – Bonds and/or Conversion Rights ... 19

Annex 3 – Derivatives ... 22

Annex 4 – Collective Investment Schemes ... 25

Annex 5 – Exchange Traded Products (ETP) ... 29

Annex 6 – Sponsored Investment Funds Segment ... 33

Annex 7 – Secondary-Listed Equity Securities ... 36

Basis: Art. 3 para. 9, Art. 6 and Art. 55 LR, Art. 29 ARB, Art. 35 ARD, Art. 21 ARETP, Art. 12 f. RSIF and Art. 22 DFC

I General provisions

Art. 1 Object

[1] This Directive governs the content and form of regular reporting obligations in connection with maintaining listing, and the details of how these obligations are to be fulfilled.

[2] If a reportable fact with regard to bonds and/or conversion rights, derivatives or exchange traded products (ETP) (Annexes 2, 3 and 5) must be published as a “Publication in accordance with conditions”, then the details of publication are governed by the terms and conditions disclosed in the corresponding prospectus according to the FinSA.

Art. 2 Reportable facts

The Annexes contain the following information on the individual regular reporting obligations:

1. content of obligation;
2. timing of report;
3. type of submission;
4. any enclosures that must be submitted;
5. whether or not the report will be published by SIX Swiss Exchange AG (“SIX Swiss Exchange”).

Art. 3 Recipients of reportable facts

The issuer or the sponsoring securities firm must transmit the reportable facts to SIX Exchange Regulation AG (“SIX Exchange Regulation”).

II Form and content of reports

Art. 4 Form in which the reportable facts are to be transmitted

[1] In transmitting reportable facts pursuant to Annex 1 an issuer of equity securities with a primary listing on the Exchange must use the Connexor Reporting electronic reporting platform ("Connexor Reporting"). If, exceptionally, Connexor Reporting is unavailable for technical reasons, the issuer must use the means listed in para. 2 below to transmit the reportable facts.

[2] The issuer or the sponsoring securities firm must transmit the reportable facts pursuant to Annexes 2 and 4-7 (bonds, conversion rights, collective investment schemes, ETP, sponsored funds and secondary-listed equity securities) by e-mail. Online forms may be used to transmit certain reportable facts.

[3] The issuer must use e-mail or Connexor Events to transmit the reportable facts pursuant to Annex 3 (derivatives).

See also:
- Online forms for Regular Reporting Obligations
- Access page for Connexor Reporting
- Access page for Connexor Events

Art. 5 Mandatory information

Each report must contain the following information:

1. name (company) of the issuer;
2. identity of the person submitting the report (incl. telephone number and e-mail address for any enquiries);
3. description of the reporting obligation in question.

Art. 6 Official Notice

[1] If the Annexes provide for information on a reportable fact to be disseminated by means of an “Official Notice”, the issuer or the sponsoring securities firm must transmit the text of the “Official Notice” to SIX Exchange Regulation electronically at the earliest possible date but, providing no differing regulation applies, no later than 10.00 a.m. on the last trading day prior to the desired publication date. The issuer or the sponsoring securities firm must notify SIX Exchange Regulation of the desired publication date, otherwise the “Official Notice” will be published immediately at the earliest possible date.

[2] The “Official Notice” must contain the mandatory information required for the reportable fact in question, as listed in the corresponding Annexes.

[3] The issuer or the sponsoring securities firm must transmit the “Official Notice” to SIX Exchange Regulation as an unformatted text document (i.e. as a Notepad document or similar).

[4] In the case of reports transmitted to SIX Exchange Regulation using Connexor Reporting (pursuant to Art. 9) or Connexor Events (pursuant to Art. 11), the “Official Notice” will be generated automatically by SIX Exchange Regulation once the report has been processed. The same applies to cases in which an Excel or Word file may be submitted instead of an “Official Notice”.

[5] SIX Exchange Regulation will make no changes to the content of an "Official Notice" that it disseminates. Responsibility for the content of this notice lies solely with the issuer or the sponsoring securities firm.

[6] Alternatively, the "Official Notice" will be published via:

1. the "Newsboard" of the SIX Swiss Exchange Trading System (for Exchange participants);
2. e-mail to interested recipients;
3. Internet (https://www.six-group.com/en/products-services/the-swiss-stock-exchange/market-data/news-tools/official-notices.html#/) as an "Official Notice".

Art. 7 Confidentiality

[1] Reportable facts which, at the time of their transmission to SIX Exchange Regulation, are still to be treated confidentially, or for which publication is to be deferred, must be clearly and obviously designated as such in the report ("Confidential"/"Publication only after consultation", or similar). In this case, the date and time as of which the temporarily confidential information may be passed on to the market must be given. In the absence of the aforementioned, SIX Exchange Regulation cannot ensure the confidential treatment of the report.

[2] When transmitting a reportable fact via Connexor Reporting, in the case of facts which are to be published openly or also sent to third parties in addition to SIX Exchange Regulation, the issuer may send the report to SIX Exchange Regulation on a confidential basis in advance, using the dedicated Connexor Reporting function. Paragraph 1 applies mutatis mutandis.

III Responsibility

Art. 8 Responsibility

[1] The issuer must report the reportable fact. It may decide at its own discretion whether to fulfil its reporting obligations itself, or to instruct a third party to do so.

[2] The sponsoring securities firm is responsible for the proper forwarding to SIX Swiss Exchange or SIX Exchange Regulation of the information listed in this Directive. In particular, the sponsoring securities firm is responsible for any direct loss arising from the fact that it carelessly failed to submit information, submitted it too late or did not forward it properly.

[3] In either case, the issuer or the sponsoring securities firm is responsible for the proper fulfilment of its obligations.

IV Regular reporting obligations

Art. 9 Primary-listed equity securities and global depository receipts (GDRs)

Issuers of equity securities which have their primary listing with SIX Swiss Exchange pursuant to Art. 120 para. 1 of the Financial Market Infrastructure Act, as well as to Art. 115 para. 3 of the Financial Market Infrastructure Ordinance, and issuers of GDRs must fulfil the regular reporting obligations in connection with maintaining listing pursuant to Annex 1.

Art. 10 Bonds and/or conversion rights

Issuers of listed bonds and/or conversion rights must fulfil the regular reporting obligations in connection with maintaining listing pursuant to Annex 2.

Art. 11 Derivatives

Issuers of listed derivatives must fulfil the regular reporting obligations in connection with maintaining listing pursuant to Annex 3.

Art. 12 Collective investment schemes

Issuers of collective investment schemes with a contractual basis or based on company law must fulfil the regular reporting obligations in connection with maintaining listing pursuant to Annex 4.

Art. 13 Exchange traded products (ETP)

Issuers of ETP must fulfil the regular reporting obligations in connection with maintaining listing pursuant to Annex 5.

Art. 14 Sponsored Investment Funds Segment

In the Sponsored Investment Funds Segment, the sponsoring securities firm must fulfil the regular reporting obligations in connection with maintenance in accordance with Annex 6.

Art. 15 Secondary-listed equity securities

Issuers of secondary-listed equity securities must fulfil the regular reporting obligations in connection with maintaining listing pursuant to Annex 7.

V Authorities

Art. 16 Delegation

The Issuers Committee delegates authority to amend the details of the fulfilment of the regular reporting obligations set out in the Annexes to this Directive to SIX Exchange Regulation.

VI Final provisions

Art. 17 Entry into force

This Directive enters into force on 1 September 2024 and replaces the Directive on Regular Reporting Obligations of 6 December 2022.

Art. 18 Transitional provisions

[1] For authorised capital increases and capital increases from conditional capital that have been adopted prior to 1 January 2023, Art. 9, Annex 1 Point 1.12, 5.01, 5.04, and Annex 6 Point 1.08 as amended on 6 December 2022 apply mutatis mutandis.

[2] Issuers of global depository receipts (GDRs) that were listed before the revision of Art. 9 in conjunction with Annex 1 point 1.06 (7) and (8) DRRO must report a recognised representation in accordance with the Directive on Recognised Representation (DRR) and a contact person at the depository via Connexor Reporting within a transitional period of 3 months.

Art. 19 Revision

The suspension of Annex 1 Point 2.03 that was decreed by the Issuers Committee in its resolution of 2 December 2025 enters into force on 1 January 2026.

Annex 1 – Primary-listed equity securities and global depository receipts (GDRs)

Regular reporting obligations that are not applicable to GDRs are clearly marked.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1	**Regular reporting obligations concerning information on the issuer**				
1.01	Change of name of the issuer.	Within 5 trading days following entry in the Commercial Register, but in any case **no later than 10.00 a.m. on the last trading day prior to the date of exchange modification.** In the event of a change of ISIN/security number, the report must be sent no later than 10.00 a.m. **2 trading days prior to the date of exchange modification.**	– Name (company) old/new; – Web address old/new; – Ticker symbol old/new; – Security no. and ISIN old/new; – Date of the exchange modification. Enclosures: – Extract from the Commercial Register as PDF; – Articles of association as PDF.	Connexor Reporting electronic reporting platform.	Yes.
1.02	Change of address of registered office/place of primary management.	Within 5 trading days following entry in the Commercial Register.	– Name; – Address, P.O. box; – Tel. no.; – E-mail address; – Main language for correspondence. Enclosure: – Extract from the Commercial Register as PDF.	Connexor Reporting electronic reporting platform.	-
1.03	Change of invoice address.	By 30.12.of each year at the latest.	– Name (company); – Order number/invoice recipient; – Address, P.O. box; – E-mail address.	Connexor Reporting electronic reporting platform.	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1.04	External auditors: – 1.04 (1): Change of external auditors; – 1.04 (2): Discontinuance of supervision of their auditors by a foreign audit oversight authority recognised by the Federal Council (Art. 8 para. 2 of the Audit Oversight Act in conjunction with Art. 10 of the Audit Oversight Ordinance).	– 1.04 (1): Within 5 trading days following entry in the Commercial Register; – 1.04 (2): On the same day as notification of the decision of the audit oversight authority.	1.04 (1): – Name (company); – Country of domicile; – Register number of the competent audit oversight authority; – Reason for the change (incl. comments on whether the auditors resigned and whether there were any unresolved differences of opinion between issuer and auditors at the time of the change). Enclosure: – Extract from the Commercial Register as PDF. 1.04 (2): – Name (company) of their foreign auditors; name of the foreign audit oversight authority; effective date of the discontinuance/reintroduction of oversight of the auditors by a foreign audit oversight authority recognised by the Federal Council.	Connexor Reporting electronic reporting platform.	-
1.05	Change of balance sheet date (close of financial year).	Within 5 trading days following the resolution.	Indication of the new effective date.	Connexor Reporting electronic reporting platform.	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1.06	Changes to contact persons: – 1.06 (1) Chairman of the Board of Directors (CoB); – 1.06 (2) Chief Executive Officer (CEO); – 1.06 (3) Chief Financial Officer (CFO); – 1.06 (4) Head of Investor Relations (IVR); – 1.06 (5) Contact person forad hoc publicity (AhP) pursuant to the Directive on Ad hoc Publicity (DAH); – 1.06 (6) Contact person for regular reporting obligations (RO) pursuant to this Directive. For GDR issuers only:[1] – 1.06 (7) Recognised representation (RR) pursuant to the Directive on Recognised Representation (DRR); – 1.06 (8) Contact person at the depository (DP).	CEO, CFO, IVR, AhP, RO, RR, DP: On the occasion of the publication of the change, but **no later than 4.00 p.m. on the last trading day before taking office or leaving office**. CoB: On the day of the change, but **no later than 4.00 p.m. 1 trading day after the GMS**.	– Last name, first name; – Address for correspondence; – Direct telephone number; – Direct e-mail address; – Team e-mail address; – Language for correspondence. Additionally for the contact persons for: – Ad hoc publicity and – Regular reporting obligations: mobile phone number. Additionally with recognised representation: – Power of attorney.	Connexor Reporting electronic reporting platform.	-

[1] This refers to the issuer of the underlying shares.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1.07	Changes to the following weblinks (URLs): – 1.07 (1) Issuer's general website; – 1.07 (2) Registration form for the e-mail distribution list (subscription to push system pursuant to Art. 8 Directive Ad hoc Publicity [DAH]); – 1.07 (3) Directory of ad hoc notices (pull system pursuant to Art. 9 Directive Ad hoc Publicity [DAH]); – 1.07 (4) Corporate calendar; – 1.07 (5) Directory of financial statements (annual and semi-annual reports).	No later than 4.00 p.m. on the last trading day before the new weblinks are activated.	Weblink and date of the exchange modification.	Connexor Reporting electronic reporting platform.	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1.08	Issuers with a publicly announced buyback programme pursuant to Art. 123 of the Financial Market Infrastructure Ordinance: Report stating whether an independent securities firm or a trading unit (if the issuer itself is a securities firm) that is segregated with information barriers has been commissioned to undertake the buyback programme (Art. 124 para. 2 lit. a and b Financial Market Infrastructure Ordinance). This point is not applicable to GDR issuers.	No later than 1 trading day before the start of the buyback programme.	Enclosure: – Extract from the contract as PDF.	Connexor Reporting electronic reporting platform.	-
1.09	Change in the currency in which the capital is expressed. This point is not applicable to GDR issuers.	Within 5 trading days following entry in the Commercial Register, but in any case **no later than 10.00 a.m. on the last trading day prior to the date of exchange modification**. No more than 10 trading days may elapse between the entry of the currency change in the Commercial Register and the date of exchange modification.	– Specification of the old and new currencies; – Date of the exchange modification. Enclosures: – Extract from the Commercial Register as PDF; – Articles of association as PDF.	Connexor Reporting electronic reporting platform.	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
2	**Regular reporting obligations in connection with financial reporting**				
2.01	Submission of financial statements: – 2.01 (1) Annual report and, if issuers are listed in the regulatory standard Sparks and choose publication pursuant to Art. 4 para. 2 Directive Corporate Governance: separate document with information on Corporate Governance (DCG); – 2.01 (2) Semi-annual report.	Upon publication of the report Publication must take place at the latest: – **Annual report:** 4 months following the closing date for the financial year (balance sheet date); – **Interim (semi-annual) report:** 3 months following the balance sheet date. For Sparks issuers: The separate document containing information on Corporate Governance must be sent at the same time as the annual report.	Report as PDF.	Connexor Reporting electronic reporting platform.	-
2.02	Mandatory quarterly financial statements pursuant to Art. 24 et seqq. of the Directive Track Record (DTR) and pursuant to Art. 89n and 89o of the Listing Rules (LR).	Upon publication of the report. Publication must take place no later than 3 months after the balance sheet date of the financial year.	Report as PDF.	Via e-mail to reporting.obligations@six-group.com.	-
2.03[2]	Report on opting in pursuant to Art. 9 para. 1 Directive Corporate Governance (DCG) (sustainability reporting).	Upon publication of the sustainability report.	– Indication of the internationally recognised standard applied; – Reporting interval; – Weblink.	Connexor Reporting electronic reporting platform.	

[2] Suspended as of 1 January 2026.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
3	**Regular reporting obligations in connection with the general meeting of shareholders (GMS)**				
3.01	Date of GMS.	Upon publication of the date.	– Date; – Type of meeting.	Connexor Reporting electronic reporting platform.	-
3.02	Issuers with listed registered shares or GDRs: Date of closure of the share resp. GDR register.	Upon publication of the date.	– Date; – Exact time of closure.	Connexor Reporting electronic reporting platform.	-
3.03	Invitation to GMS.	No later than 20 calendar days prior to the GMS.	Enclosure: – Agenda as PDF or media release with the same content as PDF.	Connexor Reporting electronic reporting platform.	-
3.04	Resolutions of the GMS.	No later than 1 trading day following GMS.	Enclosure: – Resolutions as per the agenda as PDF, or media release with the same content, as PDF.	Connexor Reporting electronic reporting platform.	-
3.05	Resolution/cancellation/change on opting out/opting up pursuant to Art. 125 para. 3 and Art. 135 para. 1 of the Financial Market Infrastructure Act. This point is not applicable to GDR issuers.	Within 5 trading days following the GMS.	– Description of the special clauses in the articles of association pursuant to Art. 125 and Art. 135 of the Financial Market Infrastructure Act. Enclosure: – Articles of association as PDF.	Connexor Reporting electronic reporting platform.	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
4	**Regular reporting obligations in connection with dividends**				
4.01	Dividend report.	**Preliminary (indicative) report:** **No later than 20 calendar days prior to the GMS.** **Final report:** **No later than 10.00 a.m. on the last trading day prior to the date of the ex-dividend trading.**	– Date of ex-dividend trading (ex-date); – Date on which dividends are paid out (pay-date); – Category of securities; – Security no., ISIN; – Gross amount payable per equity security; – Coupon no.; – Any further details. Additionally in the case of **stock dividends:** – Information on whether the issuer's own existing equity securities are to be used, or new equity securities created as part of a capital increase; – ISIN of the equity securities that are to be distributed; – Subscription ratio between the equity securities that are held and those which are to be distributed. Additionally in the case of **commodity dividends:** – Object of the commodity dividend.	Connexor Reporting electronic reporting platform.	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
5	**Regular reporting obligations in connection with the capital structure**				
5.01	Creation/cancellation of the conditional capital, of reserve capital according to Art. 12 Banking Act and convertible capital according to Art. 13 Banking Act or introduction/change/withdrawal of the capital band (e.g. expiry of the term, capital increase or decrease, change in the currency in which the capital is expressed, decision to repeal). This point is not applicable to GDR issuers.	Within 5 trading days following entry/cancellation in the Commercial Register.	Enclosures: – Extract from the Commercial Register as PDF; – Articles of association as PDF.	Connexor Reporting electronic reporting platform.	-
5.02	Reporting of conditional capital. This point is not applicable to GDR issuers.	Monthly report from the time at which the conditional capital is formally listed; prepared on the first trading day of the following month. The issuer may submit a written application for exemption from this provision if no options or conversion rights will be exercised for a long period. The exemption applies for a maximum of 1 year.	– Category of securities; – Security no., ISIN; – Article of the articles of association; – Number of outstanding securities; – Number of exercised securities; – Remaining conditional capital.	Connexor Reporting electronic reporting platform.	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
5.03	Commercial Register entry of newly created securities from conditional capital. This point is not applicable to GDR issuers.	Within 5 trading days following entry in the Commercial Register.	Enclosure: – Extract from the Commercial Register as PDF.	Connexor Reporting electronic reporting platform.	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
5.04	Capital reduction (ordinary capital reduction; capital reduction with simultaneous capital increase, or declarative capital reduction, capital reduction within the capital band). This point is not applicable to GDR issuers.	Within 5 trading days following entry in the Commercial Register, but in any case **no later than 10.00 a.m. on the last trading day prior to the date of exchange modification**. No more than 10 trading days may elapse between the entry of the capital reduction in the Commercial Register and the date of exchange modification.	By **cancellation of shares**: – Date of exchange modification (ex-date); – New number of issued equity securities. By **redemption**: – Payment date; – Date of exchange modification (ex-date); – Category of securities; – Security no., ISIN; – Gross amount payable per equity security; – Coupon no.; – New number of issued equity securities; – Old/new nominal value. **Capital reduction with simultaneous capital increase, and declarative capital reduction** to eliminate a capital deficiency and reduce the nominal value, whereby the funds released are not distributed but posted to reserves: The content of the report varies depending on the structure of the transaction in each specific case. Enclosures: – Extract from the Commercial Register as PDF; – Articles of association as PDF.	Connexor Reporting electronic reporting platform.	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
5.05	For issuers without seat in Switzerland: The current total of issued equity securities, and the associated voting rights pursuant to Art. 115 para. 3 of the Financial Market Infrastructure Ordinance. For GDR issuers: The most recent total number of GDRs issued and the associated voting rights.	No later than 5 trading days after the change in the total number of equity securities issued has occurred. GDR issuers: No later than 5 trading days after 30.11.of each year.	– New total number of issued equity securities; – New number of associated voting rights; – Date of change.	Connexor Reporting electronic reporting platform.	-
5.06	Subsequent listing in the country of domicile pursuant to Art. 11 of the Directive for Foreign Companies (DFC).	No later than 5 trading days after the first trading day on the stock exchange in the country of domicile.	– Name of the stock exchange in the country of domicile; – Listing date in the country of domicile.	Official Notice via e-mail to reporting-obligations@six-group.com.	Yes.
5.07	Change regarding depository agreement for GDR issuers.	No later than 5 trading days after signing the new or amended agreement.	– Date of change. Enclosures: – Depository agreement as PDF.	Connexor Reporting electronic reporting platform.	-

Annex 2 – Bonds and/or Conversion Rights

Regular Reporting Obligations regarding the Maintenance of Listing – Bonds and/or Conversion Rights.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1	**Regular reporting obligations concerning information on the issuer**				
1.01	Change of name of the issuer.	Within 5 trading days following entry in the Commercial Register.	– Name old/new; web address old/new; – Ticker symbol old/new; security no./ISIN; – Copies of extract from Commercial Register and articles of association.	Official Notice via e-mail to zulassung@six-group.com.	Yes.
1.02	Change of address of registered office/place of primary management.	Within 5 trading days following entry in the Commercial Register.	– Name; address; P.O. box no; tel. no.; – Copy of extract from the Commercial Register.	Official Notice via e-mail to zulassung@six-group.com.	Yes.
1.03	External auditors: – 1.03 (1): Change of external auditors; – 1.03 (2): Discontinuance/introduction or reintroduction of supervision of the auditors by a foreign oversight audit authority recognised by the Federal Council (Art. 8 para. 2 of the Audit Oversight Act in conjunction with Art. 10 of the Audit Oversight Ordinance).	– 1.03 (1): Within 5 trading days following entry in the Commercial Register; – 1.03 (2): On the same day as notification of the decision of the audit oversight authority.	1.03 (1): – Name (company); country of domicile; register number from the Federal Audit Oversight Authority; – Reason why the issuer has changed auditor (incl. comments on whether it was the auditors who resigned). 1.03 (2): – Name (company) of their foreign auditors; name of the foreign audit oversight authority; effective date of the discontinuance/reintroduction of oversight of the auditors by a foreign audit oversight authority recognised by the Federal Council; – Security no./ISIN of the issuer's listed bonds.	Official Notice via e-mail to zulassung@six-group.com.	Yes.
1.04	Change of financial reporting standard.	Within 5 trading days of publication of the annual report.	– Accounting standards old/new; – Date of change.	Official Notice via e-mail to zulassung@six-group.com.	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
2	**Regular reporting obligations concerning information on the securities – Bonds**				
2.01	Amortisations.	On the same day as cancellation.	– Security no./ISIN; – Maturity date; – Repayment amount.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.02	Early redemption.	According to terms and conditions of the bond.	– Security no./ISIN; – Date; – Type of redemption; – Repayment amount; – Price/currency; – Reference to the applicable point in the terms and conditions of the bond; – New last trading day.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.03	Increases.	According to terms and conditions of the bond.	– Security no./ISIN; – Date; – Amount of increase; – Total; – Price/currency; – Reference to the applicable point in the terms and conditions of the bond.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.04	Floating-rate bonds: – New interest rate.	By no later than 4.00 p.m. 2 trading days before the interest rate goes into effect.	– Security no./ISIN; – New interest rate/old interest rate; – Term of new interest rate; – Interest effective date.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.05	Change in customs.	According to terms and conditions of the bond.	– Security no./ISIN; – Old/new interest conventions; – Interest conventions valid from.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
2.06	Reorganisation, restructuring, facts pertaining to flat trading, information requirements in case of non-performing bonds, bankruptcy, composition or other insolvency and liquidation proceedings.	1) On the same day as the occurrence of the event; 2) Upon petition of borrower.	– Security no./ISIN; – Press communiqués; – All relevant documentation issued to bondholders; – Petition of borrower (2); – All relevant documentation (incl. all decisions taken with regard to bankruptcy, composition or other insolvency or liquidation proceedings) provided to creditors and/or bondholders.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.07	Change of borrower (Change of issuer/guarantor).	No later than 1 trading day prior to change of borrower entering into legal force.	– Security no./ISIN; – Issuer old/new; – Guarantor old/new; – Date; – Type of redemption; – Amount; – Price/currency; – Reference to the applicable point in the terms and conditions of the bond.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.08	Change of paying agent/exercise agent.	No later than 1 day prior to change entering into legal force.	– Security no./ISIN; – Date of change; – Information regarding the previous as well as the new paying agent/exercise agent.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
3	**Regular reporting obligations concerning information on the securities – Conversion rights**				
3.01	Capital events affecting the underlying: Adjustment of conversion price or conversion terms.	On the same day as the occurrence of the event.	– Security no./ISIN; – Security no./ISIN of underlying; – Old/new conversion conditions (conversion price, conversion ratio, etc.).	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.

Annex 3 – Derivatives

Regular Reporting Obligations regarding the Maintenance of Listing – Derivatives.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1	**Regular reporting obligations concerning information on the issuer**				
1.01	Change of name of the issuer.	Within 5 trading days following entry in the Commercial Register.	– Name old/new; web address old/new; – Ticker symbol old/new; security no./ISIN; – Copies of extract from Commercial Register and articles of association.	Official Notice via e-mail to zulassung@six-group.com.	Yes.
1.02	Change of address of registered office/place of primary management.	Within 5 trading days following entry in the Commercial Register.	– Name; address; P.O. box; tel. no.; – Copy of extract from the Commercial Register.	Official Notice via e-mail to zulassung@six-group.com.	Yes.
1.03	Loss of required permit granted by relevant supervisory authority.	On the same day as notification of the decision of the supervisory authority.	– Copies of formal decision by supervisory authority.	Official Notice via e-mail to listing@six-group.com.	Yes.
1.04	Change of financial reporting standard.	Within 5 trading days of publication of the annual report.	– Accounting standards old/new; – Date of change.	Official Notice via e-mail to zulassung@six-group.com.	Yes.
2	**Regular reporting obligations concerning information on the securities[1]**				
2.01	Adjustments to the terms and conditions of the securities, e.g. with regard to the strike price or subscription ratio.	On the same day as the occurrence of the event.	– Security no./ISIN; – Security no./ISIN of underlying; – Terms and conditions old/new (exercise price, subscription ratio, etc.).	– Connexor Events; – Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.02	Increase or reduction in number of securities.	On the same day as the occurrence of the event.	– Security no./ISIN; – Security no./ISIN of underlying; – Number of securities old/new.	– Connexor Events; – Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.

[1] Included are all derivatives that can be listed on SIX Swiss Exchange.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
2.03	Achievement of threshold values that might affect the price or valuation of the derivative (e.g. in the case of barrier options).	Upon achievement of the threshold value pursuant to the deadlines defined in SIX Swiss Exchange Directive 3: Trading.	– Security no./ISIN; – Security no./ISIN of underlying.	– Connexor Events; – Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.04	Determination during duration of the derivative of a price parameter relevant to its valuation/payment (e.g. fixing of a new coupon rate for derivatives with interest payment).	On the same day as determination of the new price parameter.	– Security no./ISIN; – Security no./ISIN of underlying; – Terms and conditions old/new. – Possible declaration of indemnity.	– Connexor Events; – Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.05	Temporary interruption or permanent cessation of regular price determination for the underlying instrument (owing to trading suspensions, delisting of underlying instrument, or similar events).	Upon occurrence of the event.	– Security no./ISIN; – Security no./ISIN of underlying; – Terms and conditions old/new.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.06	Exchange of underlying (e.g. owing to capital events affecting the underlying, such as restructuring measures or similar).	On the same day as the occurrence of the event.	– Security no./ISIN; – Security no./ISIN of underlying; – Terms and conditions old/new; – Reference to the applicable point in the terms and conditions of the derivative.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.07	Early termination by issuer (where provided for in the terms).	According to terms and conditions.	– Security no./ISIN; – Security no./ISIN of underlying.	– Connexor Events – Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.08	Changes regarding the issuer of the derivatives that might affect the price or valuation of the derivative (e.g. insolvency, bankruptcy or similar).	On the same day as the occurrence of the event.	– Security no./ISIN; – Security no./ISIN of underlying; – Description of event; – Date; – Effects; – Possible declaration of indemnity.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
2.09	Change of borrower (Change of issuer/creditor).	5 days prior to change of borrower entering into legal force.	– Security no./ISIN; – Information on new borrower (with inclusion of annual financial report); – Information on continuation of guarantee, if applicable; – Reference to the applicable point in the terms and conditions of the derivative.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.10	Change of paying agent/exercise agent.	No later than 1 day prior to change entering into legal force.	– Security no./ISIN; – Information regarding the previous as well as the new paying agent/exercise agent.	Official Notice via e-mail to zulassung@six-group.com.	Yes. Publication in accordance with conditions.
2.11	For crypto assets as underlying for derivatives: The occurrence of an extraordinary circumstance, namely suspicion of price manipulation, falsification of liquidity or criminal activity in relation to the derivative or crypto asset.	On the same day as receipt of information.	– Description of the circumstance; – Date; – Effects; – Information on the measures taken or planned.	Official Notice via e-mail to listing@six-group.com.	Yes.

Annex 4 – Collective Investment Schemes

Regular Reporting Obligations regarding the Maintenance of Listing – Collective Investment Schemes with a Contractual Basis and Based on Company Law.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1	**Regular reporting obligations concerning information on the issuer**				
1.01	Change of fund management. Change of name of the fund management, the issuer, the collective investment scheme or the representative in Switzerland pursuant to Art. 123 f. of the Collective Investment Schemes Act (collective investment schemes without seat in Switzerland).	Within 5 trading days after publication of the FINMA decision pursuant to Art. 27 para. 4 of the Collective Investment Schemes Act. Within 5 trading days after the decision of the body responsible for the change of name. The report must reach SIX Exchange Regulation **no later than 10.00 a.m. on the last trading day prior to the date of exchange modification**. In the event of a change of ISIN/security number, the report must be received **no later than 10.00 a.m. 2 trading days prior to the date of exchange modification.**	– Date of change/exchange modification; – Name old/new; – If applicable, security no. and ISIN old/new. Enclosures: – Decision of the supervisory authority or decision of the competent body as PDF.	Online form “Official Notice Name Change” or “Official Notice Change of Fund Management” or Official Notice by e-mail to reporting-obligations@six-group.com.	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1.02	Relocation of the registered office or change of address of the fund management, the issuer, the place of management or the representative in Switzerland.	Within 5 trading days after publication of the decision of the supervisory authority pursuant to Art. 27 para. 4 of the Collective Investment Schemes Act or within 5 trading days of the decision of the competent body.	– Date; – Address, P.O. box; – Tel. no.; – E-mail address of the fund management; – Main language for correspondence. Enclosure: – Decision of the supervisory authority or the competent body as PDF.	Online form “Change of Address”.	-
1.03	Change of invoice address.	By 30.12.of each year at the latest.	– Name (company); – Address, P.O. box; – Order number/invoice recipient; – E-mail address.	Online form “Change of Address”.	-
1.04	Changes to contact persons: – 1.04 (1) Contact person at the fund management (collective investment scheme with seat in Switzerland) or at the representative in Switzerland pursuant to Art. 123 f. of the Collective Investment Schemes Act (collective investment scheme without seat in Switzerland); – 1.04 (2) Contact person for ad hoc publicity , if the issuer is subject to the provisions of the Directive on Ad hoc Publicity (DAH); – 1.04 (3) Contact person for regular reporting obligations pursuant to this Directive.	On the day of the event, but **no later than 4.00 p.m. on the last trading day before taking office or leaving office**.	– Last name, first name; – Address for correspondence; – Direct telephone number; – Direct e-mail address; – Team e-mail address; – Language for correspondence. Additionally for the contact persons for: – Ad hoc publicity and – Regular reporting obligations: mobile phone number.	Online form "Contact Persons".	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
2	**Regular reporting obligations in connection with units**				
2.01	Distribution – dividend report.	**No later than 10.00 a.m. on the last trading day before the ex-date.**	– Date of ex-dividend trading (ex-date); – Date on which dividends are paid out (pay-date); – Security no. and ISIN old/new; – Amount per unit (gross/net); – Coupon no. (if any); – Contact person; – Any further details. If **fund units are to be distributed** instead of money, the following information must be given additionally: – ISIN of the securities that are to be distributed; – Subscription ratio between fund units held and those which are to be distributed. Additionally in the case of **commodity dividends:** – Object of the distribution as a commodity dividends.	Online form “Official Notice Dividends” or corresponding Excel/Word file via e-mail to reporting-obligations@six-group.com.	Yes.
2.02	Change in the currency of the underlying.	**No later than 10.00 a.m. on the last trading day prior to the date of exchange modification**.	– Date of the exchange modification; – Base currency old/new.	Online form “Official Notice Change of Currency”.	Yes.
2.03	Deferred redemption pursuant to Art. 81 of the Collective Investment Schemes Act.	On the day of the event and the passing of the resolution or decision.	Enclosure: – Resolution by the fund management or decision of the supervisory authority as PDF.	Online form “Official Notice Deferred Redemption”.	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
2.04	For real estate funds: redemption of units by the issuer.	No later than 10.00 a.m. on the final trading day before the effective date.	– Name of the fund; – Ticker symbol; – Security no., ISIN; – Number of units redeemed; – Effective date; – Reason for redemption.	Online form "Official Notice Redemption of Units".	Yes.

Annex 5 – Exchange Traded Products (ETP)

Reporting Obligations Regarding the Maintenance of Listing – Exchange Traded Products (ETP).

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1	**General information on the issuer and the guarantor**				
1.01	– Change of name of the ETP, the issuer (1) or the guarantor (2); – Change of the issuer (1) or the guarantor (2).	Within 5 trading days following entry in the Commercial Register or the change entering into legal force. In the event of a change of ISIN/security number, the report must be received **no later than 10.00 a.m. 2 trading days prior to the date of exchange modification.**	– Name old/new; web address old/new; – Ticker symbol old/new; security no./ISIN; – Date as of which exchange modification is to be made. When changing the guarantor (2): – Information on continuation of guarantee, if available as PDF.	Online form “Official Notice Name Change” or “Official Notice Change of Fund Management” or Official Notice by e-mail to reporting-obligations@six-group.com.	Yes. Publication in accordance with conditions.
1.02	Change of address of registered office.	Within 5 trading days following entry in the Commercial Register or the change entering into legal force.	– Date; – Address, P.O. box; – Tel. no.; – E-mail address; – Main language for correspondence; – Copy of extract from the Commercial Register.	Online form “Change of Address”.	-
1.03	Change of invoice address.	By 30.12of each year at the latest.	– Name; – Address, P.O. box; – Order number/invoice recipient; – E-mail address.	Online form “Change of Address”.	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1.04	Change of external auditors.	Within 5 trading days following entry in the Commercial Register.	– Name/company; country of domicile; register number from the Federal Audit Oversight Authority; – Reason the issuer has changed auditors (incl. comments on whether it was the auditors who resigned or whether there are unresolved differences of opinion); – Copy of extract from the Commercial Register.	Official Notice via e-mail to reporting-obligations@six-group.com.	Yes.
1.05	Change of financial reporting standard.	Within 5 trading days of publication of the annual report.	– Accounting standards old/new; – Date of change.	Official Notice via e-mail to reporting-obligations@six-group.com.	Yes.
1.06	Fees collected (NAV).	Upon publication of the annual report.	– Information on the fees collected pursuant to Art. 20 para. 2 of the Additional Rules Exchange Traded Products (ARETP).	Official Notice via e-mail to listing@six-group.com.	Yes.
1.07	Changes to contact persons: – 1.07 (1): Contact person for ad hoc publicity if the Directive on Ad hoc Publicity (DAH) applies to the issuer; – 1.07 (2): Contact person for regular reporting obligations pursuant to this Directive.	On the day of the announcement of the change but **no later than 4.00 p.m. on the last trading day before taking office or leaving office**.	– Last name; first name; address; – Direct telephone number; – Direct e-mail address; – Team e-mail address. Additionally for the contact persons for: – Ad hoc publicity and – Regular reporting obligations: mobile phone number.	Online form "Contact Persons".	-
2	**General details of those parties involved in the structure**				
2.01	Change of name.	Within 5 trading days following entry in the Commercial Register or the change entering into legal force.	– Name old/new; web address old/new; – Ticker symbol; security no./ISIN.	Official Notice via e-mail to listing@six-group.com.	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
2.02	Loss of required authorisation granted by relevant supervisory authority as a financial intermediary and/or as a custodian.	On the same day as notification of the decision of the supervisory authority.	– Copies of formal decision by supervisory authority; – Information on the continuation of collateral and the measures taken or planned.	Official Notice via e-mail to listing@six-group.com.	Yes. Publication in accordance with conditions
2.03	Change of a party involved in the structure.	5 trading days prior to change entering into legal force.	– Security no., ISIN; – Information on new participant (with inclusion of annual report); – Details of the continuation of collateral (where change of custodian); – Possible collateralisation agreement.	Official Notice via e-mail to listing@six-group.com.	Yes. Publication in accordance with conditions.
3	**Information on the securities**				
3.01	Adjustments to the terms and conditions of the securities, e.g. with regard to corporate actions affecting the underlying.	On the same day as the occurrence of the event.	– Security no., ISIN; – Security no./ISIN of underlying; – Terms and conditions old/new (weighting, basket composition, etc.).	Official Notice via e-mail to listing@six-group.com.	Yes. Publication in accordance with conditions.
3.02	Temporary interruption or permanent cessation of regular price determination for the underlying instrument (owing to trading suspensions, delisting of underlying instrument, or similar events).	Immediately upon occurrence of the event.	– Security no., ISIN; – Security no./ISIN of underlying; – Terms and conditions old/new; – Possible declaration of indemnity.	Official Notice via e-mail to listing@six-group.com.	Yes. Publication in accordance with conditions.
3.03	Changes regarding the issuer or one of the parties involved in the structure that might affect the price or valuation of the ETP (e.g. insolvency, bankruptcy or similar).	On the same day as the occurrence of the event.	– Security no., ISIN; – Security no./ISIN of underlying; – Description of event; – Date; – Effects; – Possible declaration of indemnity.	Official Notice via e-mail to listing@six-group.com.	Yes. Publication in accordance with conditions.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
3.04	Changes with regard to the collateral (as per product terms and conditions).	– On the same day as the occurrence of the event; – According to terms and conditions.	– Security no., ISIN; – Description of event; – Date; – Effects; – Possible collateralisation agreement.	Official Notice via e-mail to listing@six-group.com.	Yes. Publication in accordance with conditions.
3.05	For crypto assets as underlying for ETP: The occurrence of an extraordinary circumstance, namely suspicion of price manipulation, falsification of liquidity or criminal activity in relation to the ETP or crypto asset.	On the same day as receipt of information.	– Description of the circumstance; – Date; – Effects; – Information on the measures taken or planned.	Official Notice via e-mail to listing@six-group.com.	Yes.

Annex 6 – Sponsored Investment Funds Segment

Reporting obligations in connection with the maintenance of listing – Sponsored Investment Funds Segment.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1	**Regular reporting obligations concerning information on the issuer**				
1.01	Withdrawal of approval or sale authorisation by FINMA.	On the same day as receipt of information.	– FINMA ruling on the withdrawal of the approval or sale authorisation.	The information must be communicated by telephone as soon as it becomes known and then submitted in writing or electronically.	Yes.
1.02	Change of name of the investment fund or issuer, change of fund management / issuer.	No later than 10.00 a.m. on the last trading day prior to the date of exchange modification In the event of a change of ISIN/security number, the report must be received **no later than 10.00 a.m. 2 trading days prior to the date of exchange modification.**	– Name (company) old/new; – Ticker symbol old/new; – Security no. and ISIN old/new; – Date of exchange modification on the primary exchange.	Online form “Official Notice Name Change” or “Official Notice Change of Fund Management” or Official Notice by e-mail to reporting-obligations@six-group.com.	Yes.
1.03	Changes to contact persons: – 1.03 (1): Contact person for regular reporting obligations pursuant to this Directive; – 1.03 (2): Contact person sponsoring securities firm.	On the day of the event, but **no later than 4.00 p.m. on the last trading day before taking office or leaving office**.	– Last name, first name; – Address for correspondence; – Direct telephone number; – Direct e-mail address; – Team e-mail address; – Language for correspondence. Additionally for the contact persons for regular reporting obligations: – mobile phone number.	Online form "Contact Persons".	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1.04	Change of issuer's registered office.	Within 5 trading days following the change.	– Name; – Address, P.O. box; – Tel. no.; – Language for correspondence.	Online form "Change of Address" or Official Notice via e-mail to reporting-obligations@six-group.com.	-
1.05	Change of Swiss security number/ISIN/ticker symbol.	**No later than 10.00 a.m. 2 trading days prior to the date of exchange modification** For ticker symbol: **No later than 10.00 a.m. on the last trading day prior to the date of exchange modification.**	– Security no. old/new; – ISIN old/new; – Ticker symbol old/new; – Date of the exchange modification.	Online form "Change of ISIN, Security No., Ticker Symbol".	Yes.
1.06	Change of currency (trading currency or currency of the basic security).	No later than 10.00 a.m. on the last trading day prior to the date of exchange modification.	– Date of the exchange modification; – Trading currency old/new; – Currency of the basic security old/new.	Online form "Change of Currency".	Yes.
1.07	Dividend payments and distributions/ex-trading date.	No later than 10.00 a.m. on the last trading day before the ex-date.	– Date of ex-dividend trading (ex-date); – Date on which dividends are paid out (pay-date); – Category of securities; – Security no. and ISIN; – Amount.	Online form "Official Notice Dividends" or corresponding Excel/Word file via e-mail to reporting-obligations@six-group.com.	Yes.
1.08	Split/date and ratio.	No later than 5 trading days prior to the date of exchange modification.	– Category of securities; – Security no. and ISIN old/new; – Ex-date; – Nominal value old/new; – Old number of issued securities; – New number of issued securities; – Trading currency on SIX Swiss Exchange.	Online form "Official Notice Capital Structure – Splits".	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1.09	Merger of the investment fund.	No later than 5 trading days prior to the date of exchange modification.	– Category of securities; – Security no. and ISIN old/new; – Date of exchange modification (ex-date); – Nominal value old/new; – Trading currency on SIX Swiss Exchange.	Online form “Official Notice Restructuring”.	Yes.
1.10	Suspension of the issue and/or redemption of units in the investment fund/reason and duration.	No later than 10.00 a.m. on the last trading day before the effective date.	– Name of the investment fund; – Ticker symbol; – Security no., ISIN; – Number of units redeemed; – Effective date; – Reason for the suspension of the issue/redemption.	Online form “Official Notice Redemption of Units”.	Yes.

Annex 7 – Secondary-Listed Equity Securities

Regular Reporting Obligations regarding the Maintenance of Listing – Secondary-Listed Equity Securities.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
1	**Regular reporting obligations concerning information on the issuer**				
1.01	Change of name of the issuer.	**No later than 10.00 a.m. on the last trading day prior to the date of exchange modification.**	– Name (company) old/new; – Ticker symbol old/new; – Security no. and ISIN old/new; – Date of exchange modification on the primary exchange.	Online form "Official Notice Name Change".	Yes.
1.02	Change of address of registered office/place of primary management.	Within 5 trading days following the change.	– Name; – Address, P.O. box; – Tel. no.; – Main language for correspondence.	Online form "Change of Address" or via e-mail to reporting-obligations@six-group.com.	-
1.03	Change of external auditors.	Within 5 trading days following the change.	– Name (company); – Country of domicile; – Register number of the competent audit oversight authority.	Via e-mail to reporting-obligations@six-group.com.	-
1.04	Changes to contact persons: – 1.04 (1): Contact person for ad hoc publicity; – 1.04 (2): Contact person for regular reporting obligations in accordance with this Directive; – 1.04 (3): Representation in Switzerland, if any.	On the day of the announcement of the change but **no later than 4.00 p.m. on the last trading day before taking office or leaving office**.	– Last name, first name; – Name (company); – Address for correspondence; – Telephone number; – E-mail address; – Team e-mail address; – Language for correspondence.	Online form "Contact Persons".	-
1.05	Information in accordance with the form for the annual data collection survey pursuant to	Once a year at the request of SIX Exchange Regulation.	– Name of the issuer; – Address of the registered office of the issuer, or the location of its primary management;	Via e-mail to reporting-obligations@six-group.com.	-

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
	Art. 20 Directive Foreign Companies (DFC). – 1.05 (1) Information as per form; – 1.05 (2) Confirmation from the primary exchange of the number of listed equity securities.		– Language for correspondence; – Company website; – ISIN; – Security no. on SIX Swiss Exchange; – Contact persons (incl. e-mail addresses) for: a. Ad hoc publicity; b. Regular reporting obligations in accordance with this Directive; c. Admission procedures (representative in Switzerland, if any); – Capital structure: a. Outstanding equity securities; b. Capital transactions carried out during the year; – Dividend payments/anticipated ex-dividend date (ex-date) on primary exchange (if known on survey date); – Confirmation from the primary exchange that the issuer has listed equity securities on it (incl. the number of listed equity securities, and a signature).		
2	**Regular reporting obligations in connection with dividends**				
2.01	Dividend report.	No later than 10.00 a.m. on the last trading day prior to the date of the ex-dividend trading.	– Date of ex-dividend trading (ex-date); – Payment date (pay-date); – Category of securities; – Security no. and ISIN old/new; – Gross amount payable per equity security; – Coupon no.; – Any further details.	Online form “Official Notice Dividends” or corresponding Excel file via e-mail to reporting-obligations@six-group.com.	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
			Additionally in the case of **stock dividends:** – Information on whether the issuer's own existing equity securities are to be used, or new equity securities created as part of a capital increase; – ISIN of the equity securities that are to be distributed; – Subscription ratio between the equity securities that are held and those which are to be distributed. Additionally in the case of **commodity dividends:** – Object of the commodity dividend.		
3	**Regular reporting obligations in connection with the capital structure**				
3.01	Capital increase concerning listed equity securities.	No later than 10.00 a.m. on the last trading day prior to the date of exchange modification.	– Category of securities; – Ex-date; – New number of issued equity securities; – Current level of company capital; – Reference to the secondary listing, including mention of the home country exchange and the trading symbol used there; – Trading currency on SIX Swiss Exchange.	Online form "Official Notice: capital structure".	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
3.02	Splits concerning listed equity securities (e.g. share splits).	No later than 5 trading days prior to the date of exchange modification.	– Category of securities; – Security no. and ISIN old/new; – Ex-date; – Nominal value old/new; – Old number of issued equity securities; – New number of issued equity securities; – Reference to the secondary listing, including mention of the home country exchange and the trading symbol used there; – Trading currency on SIX Swiss Exchange.	Online form "Official Notice: capital structure".	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
3.03	Capital reduction concerning listed equity securities.	No later than 10.00 a.m. on the last trading day prior to the date of exchange modification.	By **cancellation of shares**: – Ex-date; – Old number of issued equity securities; – New number of issued equity securities. By **redemption**: – Payment date; ex-date; – Category of securities; – Security no. and ISIN; – Gross amount payable per equity security; – Coupon no.; – Old number of issued equity securities; – New number of issued equity securities; – Old/new nominal value; – Reference to the secondary listing, including mention of the home country exchange and the trading symbol used there; – Trading currency on SIX Swiss Exchange.	Online form "Official notice capital decrease".	Yes.

Point	Reportable fact	Timing of report	Contents of the report	Manner of transmission to SIX Exchange Regulation	Official Notice
4	**Regular Reporting Obligations in Connection with the Restructuring of the Issuer**				
4.01	Restructuring: – 4.01 (1) Merger; – 4.01 (2) Spin-off.	No later than 5 trading days prior to the date of exchange modification.	– Category of securities; – Security no. and ISIN old/new; – Date of exchange modification (ex-date); – Nominal value old/new; – Per company: Number of equity securities before/after; – Industrial sector; – Reference to the secondary listing, including mention of the home country exchange and the trading symbol used there; – Trading currency on SIX Swiss Exchange; – Any further details.	Online form "Official notice restructuring".	Yes.