

Regulatory Board Communiqué No. 6/2019

of 2 December 2019

New rules for fees in the Sponsored Segments and amendment of the regulation for direct listings

I Background

As of 1 January 2020, SIX Swiss Exchange Ltd will reduce the fees for the admission to trading of Sponsored Foreign Shares and Sponsored Funds and introduce a fee for maintaining admission to trading in the Sponsored Funds Segment for index funds. The previous rules governing fees in the various regulations will be carried over to the List of Charges under the Listing Rules.

In addition to the above changes, the regulation for direct listings will be amended. For the initial listing of equity securities recognised representations must have an authorization as a bank or a securities firm.

II Changes

The new rules for fees in the Sponsored Segments and the changes concerning direct listings will result in amendments to the following regulations:

- Listing Rules (Art. 43 and 63 LR);
- Rules SIX Swiss Exchange-Sponsored Foreign Shares Segment (Art. 20 RSFS);
- Rules Sponsored Investment Funds Segment (Art. 20 RSIF);
- List of Charges under the Listing Rules.

III Entry into force

The revised regulations will enter into force on 1 January 2020.

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