

Registration Form:

Responsible Compliance Officer / External Auditors
of SDX Trading AG

1. Participant

Company Name:

Name of Responsible Compliance Officer:

Street:

Number:

City:

Postal Code:

Country:

Phone:

Email:

2. Independent External Auditors

Company Name:

Name of Responsible Compliance Officer:

Street:

Number:

City:

Postal Code:

Country:

Phone:

Email:

Please notify us immediately of any changes to this information.

Place and Date

Name and valid signature of participant

Important Information

Please return your completed and signed form by email to sve-enc@six-group.com
or by post to:

SIX Exchange Regulation Ltd, Surveillance & Enforcement
Hardturmstrasse 201, P.O.Box, CH-8021 Zurich
Phone : +41 58 399 2617