

SIX Swiss Exchange message no. 31/2022

Subject Revision of Company Law - Amendment to the List of Charges under the

Listing Rules effective 1 January 2023

Regulation Category

Authorized by Alain Picard, Head Products

Rebecca Stasolla, Head Change Delivery

Pages

07.12.2022 Date

Information



Content of this message:

- Amendment to the List of Charges under the Listing Rules due to revision of company law effective 1 January 2023 (no amendments to the fee tariffs)
- Publication of the amended List of Charges under the Listing Rules with entry into force on 1 January 2023

SIX Swiss Exchange AG hereby informs its participants of the amendment to the List of Charges under the Listing Rules effective 1 January 2023 in connection with the revision of company law.

New company law

The entry into force of the new company law effective 1 January 2023 will result, among other things, in the introduction of the capital band as a legal concept, replacing what was previously the authorised capital increase. Any share capital authorised up until that point in time will remain valid until it expires. The changes made at Swiss federal level must also be reflected in the SIX Swiss Exchange AG regulations. Accordingly, the authorised capital increase will be replaced, in the List of Charges under the Listing Rules, by the capital band, with transitional provisions being introduced. The fee tariffs will remain unchanged.

Regulation

The entry into force of the new company law has an impact on the following Guideline, which has been adjusted accordingly:

- **Guidelines** of SIX Swiss Exchange AG
 - List of Charges under the Listing Rules

The revised provisions will enter into force on 1 January 2023 and are published under the following link: https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/tradingprovisions/regulation.html#scrollTo=guidelines

A detailed list of the amendments to the SIX Swiss Exchange AG Guidelines can be found at: https://www.serag.com/en/resources/laws-regulations-determinations/archive.html

SIX Swiss Exchange AG strives to develop the fee models further on an ongoing basis and adapt them to reflect the market situation.

If you have any questions, please do not hesitate to contact Member Services:

Phone: +41 58 399 2473

E-mail: <u>member.services@six-group.com</u>

Links to SIX Swiss Exchange AG:

www.six-group.com | Member Section | Forms | Rules | Directives