



## SIX Swiss Exchange message no. 22/2022

Subject	<b>New Equity Segment “Global Depository Receipts” – Introduction and Publication of Regulations</b>
Category	Trading and Products
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Pages	2
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Information 

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### Content of this message:

- Introduction of the new equity segment “Global Depository Receipts” as of 25 July 2022
  - Publication of listing and trading regulations effective from 25 July 2022
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The Swiss Stock Exchange is pleased to announce the launch of its **new equity trading segment “Global Depository Receipts”** effective from **25 July 2022**.

The Listing and Trading framework is attractively designed in order to enable access for Chinese issuers. For this purpose, the Swiss Stock Exchange has created a regulatory framework comparable to those of other trading venues and amends the existing Listing Rules and Trading Rules as **of 25 July 2022**.

### Issuer Regulations of SIX Swiss Exchange AG

The Listing Rules (LR) of SIX Swiss Exchange AG now contain special requirements for Global Depository Receipts with regard to the disclosure of management transactions, Corporate Governance, interim financial reporting and ad hoc publicity obligations.

The revised provisions enter into force on 25 July 2022 and are published under the following link: <https://www.ser-ag.com/en/resources/laws-regulations-determinations/regulations.html>

### Trading and Reporting Regulations of SIX Swiss Exchange AG

The introduction of the new "Global Depository Receipts" trading segment will affect the following Rules and Guidelines of SIX Swiss Exchange AG, which have been adjusted accordingly:

- **Reporting Office Rules** of SIX Swiss Exchange AG
- **Guidelines** of SIX Swiss Exchange AG
  - “Trading Parameters” Guideline
  - List of Chargers under the Trading Rules

The revised provisions enter into force on 25 July 2022 and are published under the following link: <https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/trading-provisions/regulation.html#scrollTo=guidelines>

A detailed list of the amendments to the Rules and Guidelines can be found under the following link: <https://www.ser-ag.com/en/resources/laws-regulations-determinations/archive.html>

## Configuration of the new Trading Segment

The Swiss Stock Exchange has already implemented the required technical configuration for the new "Global Depository Receipts" trading segment in the Membertest and Production environments of the SWXess trading platform on 23 May 2022.

The configuration changes for the new trading segment are transparent for participants and will be transmitted via Reference Data Interface (RDI) and are available as well in the [Member Section](#) of SIX Swiss Exchange AG. Further details can be found in chapter 8.2.2.1 of the brochure "[SMR10.1 – Release Guide](#)".

SIX Swiss Exchange continuously strives to expand and improve its range of services and products, as well as its SWXess trading platform.

**If you have any questions, please do not hesitate to contact Member Services:**

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