



SIX Swiss Exchange Message No. 54/2019

Title	Reduced Reporting Fees for Transaction Reports and new Annual Fee for parties subject to the duty to report – Amendment of List of Charges under the Reporting Rules
Category	Regulations
Authorized by	Alain Picard, Head Trading Sales & Management Rebecca Stasolla, Head Business Roll Out
Pages	3
Date	04.11.2019

Information 

Content of this message:

- Reduced reporting fees for Transaction Reports retroactive as of 1 January 2019
- New annual fee for parties subject to the duty to report from 1 January 2020
- Amended List of Charges under the Reporting Rules to come into force on 1 January 2020

SIX Swiss Exchange hereby notifies participants about the reduction of the reporting fees for Transaction Reports retroactive as of 1 January 2019 as well as the introduction of a new annual fee for parties subject to the duty to report from 1 January 2020.

Reporting Fees for Transaction Reports

SIX Swiss Exchange is delighted to inform participants about the further reduction of the flat-rate annual reporting fee for Transaction Reports. The reduced reporting fees for Transaction Reports will be applicable retroactively as of **1 January 2019**:

Reporting fee for Transaction Reports <u>current</u>			Reporting fee for Transaction Reports <u>new</u>		
No. of Transaction Reports from	No. of Transaction Reports to	Flat-rate annual fee in CHF	No. of Transaction Reports from	No. of Transaction Reports to	Flat-rate annual fee in CHF
1	1,000	600	1	1,000	200
1,001	10,000	2,000	1,001	2,550	500
10,001	50,000	6,000	2,551	4,300	1,000
50,001	200,000	10,000	4,301	6,700	1,500
200,001	620,000	15,000	6,701	10,850	2,000
620,001	1,500,000	20,000	10,851	18,190	2,500
1,500,001	3,300,000	30,000	18,191	33,550	3,000
3,300,001	6,300,000	40,000	33,551	54,540	3,500
6,300,001	16,300,000	60,000	54,541	78,050	4,000
16,300,001	and more	80,000	78,051	113,420	4,500

	113,421	183,300	5,000
	183,301	362,000	7,500
	362,001	634,400	10,000
	634,401	1,132,200	15,000
	1,132,201	1,990,000	20,000
	1,990,001	3,047,000	25,000
	3,047,001	4,885,000	30,000
	4,885,001	8,440,000	40,000
	8,440,001	13,800,000	50,000
	13,800,001	and more	60,000

The billing for Transaction Reports for the entire year 2019 will be executed at the beginning of January 2020 and carried out based on the new rate bands. Participants will receive their invoice for Transaction Reports as usual by post or e-mail. In addition, the invoices will be published in the password-protected private Member Section: https://secure.six-swiss-exchange.com/member_section/invoices/invoices.html

For the sake of clarity, participants receive a monthly report containing an overview of all submitted Transaction Reports liable to charges. SIX Swiss Exchange will provide the monthly reports on the password-protected private Member Section at: https://secure.six-swiss-exchange.com/member_section/business_reports.html

Annual fee for parties subject to the duty to report

From **1 January 2020**, SIX Swiss Exchange will introduce an annual fee of CHF 4,000 for parties subject to the duty to report which is comparable to the annual fee for trading participants. Existing trading members will not be required to pay the annual fee for parties subject to the duty to report if they have already paid the participant annual fee described in Clause 3.3 para. 1 of the List of Charges under the Trading Rules of SIX Swiss Exchange Ltd.

The invoice for the annual fee for parties subject to the duty to report will be issued for the first time in January 2021 in addition to the reporting fees for Transaction Reports 2020.

List of Charges under the Reporting Rules

The List of Charges under the Reporting Rules of SIX Swiss Exchange Ltd has been amended to include the reduced reporting fees for Transaction Reports and new annual fee for parties subject to the duty to report. The revised List of Charges under the Reporting Rules enters into force on 1 January 2020 and can be downloaded from the SIX Swiss Exchange Ltd website at: https://www.six-group.com/exchanges/participants/regulation/guidelines_en.html

A detailed list of the revisions to the SIX Swiss Exchange Ltd guidelines is available on the SIX Swiss Exchange Ltd website at: https://www.six-group.com/exchanges/participants/regulation/revisions/guidelines_en.html

If you have any questions about the reporting fee for Transaction Reports or the annual fee for parties subject to the duty to report, please speak to your usual contact in [Sales](#) or [Relationship Management](#).

SIX Swiss Exchange strives to constantly adapt the fee models to reflect market requirements.

If you have any questions, please do not hesitate to contact Member Services:

Telephone: +41 58 399 2473

E-mail: member.services@six-group.com

Links to SIX Swiss Exchange:

www.six-group.com | [Member Section](#) | [Forms](#) | [Rules](#) | [Directives](#)