

Media Release

10 June 2021

SIX Exchange Regulation AG
Hardturmstrasse 201
P.O. Box
CH-8021 Zurich
www.ser-ag.com

Communications &
Media Relations:
T +41 58 399 2267
ser_media@six-group.com

SER initiates investigation against RELIEF THERAPEUTICS Holding Ltd.

On 10 June 2021, SIX Exchange Regulation AG (SER) initiated an investigation against RELIEF THERAPEUTICS Holding Ltd. due to a potential violation of the rules on ad hoc publicity.

A preliminary inquiry revealed that there are sufficient indications of a violation of regulations. For this reason, SER initiated an investigation against RELIEF THERAPEUTICS Holding Ltd. on 10 June 2021. The potential violation relates to the rules on ad hoc publicity and is linked to the disclosure of price sensitive facts.

As part of the investigation, SER is examining whether there has been an actual violation of the regulations.

No details will be given about the ongoing proceedings. SER will provide information about the outcome of the proceedings.

If you have any questions, please contact Hrvoje Tkalcec, Head Communications & Media Relations.

Phone: +41 58 399 2267

E-mail: ser_media@six-group.com

SIX Exchange Regulation AG is a legally independent company of SIX Group and one of the Regulatory Bodies of the SIX trading venues. It performs the functions assigned under Swiss federal law and enforces the rules laid down by the Regulatory Board, and monitors compliance with legal and regulatory requirements. As part of its diverse remit, SIX Exchange Regulation AG submits proposals for sanctions to the Sanctions Commission or can enact sanction notices. SIX Exchange Regulation AG consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement, which monitors trading. www.ser-ag.com

SIX operates and develops infrastructure services for the Swiss and Spanish Stock Exchanges, for Post-Trade Services, Banking Services and Financial Information with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss and Spanish financial centers. The company is owned by its users (121 banks). With a workforce of some 3,500 employees and a presence in 20 countries, it generated operating income of CHF 1.38 billion and Group net profit of CHF 439.6 million in 2020. www.six-group.com