

## Media Release

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### **SER initiates investigation against Interroll Holding AG**

**On 4 March 2021, SIX Exchange Regulation AG (SER) initiated an investigation against Interroll Holding AG due to a potential violation of the rules on ad hoc publicity.**

A preliminary inquiry revealed that there are sufficient indications of a violation of regulations. For this reason, SER initiated an investigation against Interroll Holding AG on 4 March 2021. The potential violation relates to the rules on ad hoc publicity, in particular the timely publication of the annual report published on 22 March 2019.

As part of the investigation, SER is examining whether there has been an actual violation of the regulations.

No details will be given about the ongoing proceedings. SER will provide information about the outcome of the proceedings.

If you have any questions, please contact Hrvoje Tkalcec, Head Communications & Media Relations.

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**SIX Exchange Regulation AG** is a legally independent company of SIX Group and one of the Regulatory Bodies of the SIX trading venues. It performs the functions assigned under Swiss federal law and enforces the rules laid down by the Regulatory Board, and monitors compliance with legal and regulatory requirements. As part of its diverse remit, SIX Exchange Regulation AG submits proposals for sanctions to the Sanctions Commission or can enact sanction notices. SIX Exchange Regulation AG consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement, which monitors trading.

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**SIX** operates and develops infrastructure services for the Swiss and Spanish Stock Exchanges, for Post-Trade Services, Banking Services and Financial Information with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss and Spanish financial centers. The company is owned by its users (122 banks). With a workforce of some 3,500 employees (full-time equivalents) and a presence in 20 countries, it generated operating income of CHF 1.13 billion and Group net profit of CHF 120.5 million in 2019.

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