

Media Release

27 November 2020

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SER concludes investigation concerning Cicor Technologies Ltd

On 27 November 2020, SIX Exchange Regulation AG (SER) concluded the investigation concerning Cicor Technologies Ltd in connection with a possible violation of the rules on ad hoc publicity.

In December 2018, SER opened an investigation concerning Cicor Technologies Ltd. The investigation was conducted in connection with a possible violation of the rules on ad hoc publicity.

Based on the findings of the investigation, SER concludes that the possible violation will not be pursued further. With the conclusion of the investigation, the sanction proceedings are deemed terminated.

If you have any questions, please contact Jürg Schneider, Head Media Relations.

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SIX Exchange Regulation AG is a legally independent company of SIX Group and one of the Regulatory Bodies of the SIX trading venues. It performs the functions assigned under Swiss federal law and enforces the rules laid down by the Regulatory Board, and monitors compliance with legal and regulatory requirements. As part of its diverse remit, SIX Exchange Regulation AG submits proposals for sanctions to the Sanctions Commission or can enact sanction notices. SIX Exchange Regulation AG consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement, which monitors trading. www.ser-ag.com

SIX operates and develops infrastructure services in the Securities & Exchanges, Banking Services and Financial Information business units with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss financial centre. The company is owned by its users (122 banks). With a workforce of some 2,600 employees and a presence in 20 countries, it generated operating income of CHF 1.13 billion and Group net profit of CHF 120.5 million in 2019. www.six-group.com