

## Media Release

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SIX Exchange Regulation AG  
Hardturmstrasse 201  
P.O. Box  
CH-8021 Zurich  
[www.ser-ag.com](http://www.ser-ag.com)

Media Relations:  
T +41 58 399 2227  
[pressoffice@six-group.com](mailto:pressoffice@six-group.com)

### **SER concludes investigation concerning Addex Therapeutics Ltd**

**On 16 October 2020, SIX Exchange Regulation AG (SER) concluded the investigation concerning Addex Therapeutics Ltd in connection with possible violations of the rules on ad hoc publicity and regular reporting obligations.**

In November 2018, SER opened an investigation concerning Addex Therapeutics Ltd. The investigation was conducted in connection with possible violations of the rules on ad hoc publicity and regular reporting obligations.

Based on the findings of the investigation, SER concludes that the possible violations will not be pursued further. With the conclusion of the investigation, the sanction proceedings are deemed terminated.

If you have any questions, please contact Julian Chan, Deputy Head Media Relations.

Phone: +41 58 399 2508

E-mail: [pressoffice@six-group.com](mailto:pressoffice@six-group.com)

**SIX Exchange Regulation AG** is a legally independent company of SIX Group and one of the Regulatory Bodies of the SIX trading venues. It performs the functions assigned under Swiss federal law and enforces the rules laid down by the Regulatory Board, and monitors compliance with legal and regulatory requirements. As part of its diverse remit, SIX Exchange Regulation AG submits proposals for sanctions to the Sanctions Commission or can enact sanction notices. SIX Exchange Regulation AG consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement, which monitors trading. [www.ser-ag.com](http://www.ser-ag.com)

**SIX** operates and develops infrastructure services in the Securities & Exchanges, Banking Services and Financial Information business units with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss financial centre. The company is owned by its users (122 banks). With a workforce of some 2,600 employees and a presence in 20 countries, it generated operating income of CHF 1.13 billion and Group net profit of CHF 120.5 million in 2019. [www.six-group.com](http://www.six-group.com)