



Regulatory Board Communiqué No. 1/2023
of 1 March 2023

Amendments to regulations due to automation of market making appendix process for new listings of ETF and ETP

I Background

From 1 April 2023, the stock exchange is simplifying the listing process for Exchange Traded Funds (ETF) and Exchange Traded Products (ETP) at the same time. The manual process of updating and signing the market-making appendix will be supplemented by the registration of the market maker in Member Section and a confirmation e-mail that will be automatically generated and sent after review and approval by SIX Product Management. As an alternative, it will still be possible to use the current method by adapting the market making appendix.

The service can only be used if the correspondingly updated market making agreement has been concluded with SIX Swiss Exchange AG.

II Amendments

The amendments will result in changes to the following regulations:

- Directive Procedures Equity Securities (DPES);
- Directive Procedures Exchange Traded Products (DPETP).

III Entry into force

The revised provisions will enter into force on 1 April 2023 and are published under the following [link](#).

Regulatory Board Communiqués are published in German, French and English on the Internet.